

# Shape and topology optimization of structures built by additive manufacturing

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- 1 - Introduction: a review of additive manufacturing
- 2 - Parametric optimization and the adjoint method
- 3 - Geometric optimization and Hadamard method
- 4 - Topology optimization and the level set method
- 5 - Typical constraints from additive manufacturing
- 6 - Optimization of lattice materials
- 7 - Coupled shape and laser path optimization

A "hot" topic with a lot of room for new ideas and modeling...

## Chapter 3 - Geometric optimization and Hadamard method

- I - Introduction and setting
- II - Shape parametrization: Hadamard method
- III - Example of shape derivatives
- IV - Numerical algorithm and results

G. Allaire, *Conception optimale de structures*, Mathématiques et Applications, Vol. 58, Springer (2007).

G. Allaire, C. Dapogny, F. Jouve, *Shape and topology optimization*, in Geometric partial differential equations, part II, A. Bonito and R. Nochetto eds., pp.1-132, Handbook of Numerical Analysis, vol. 22, Elsevier (2021).

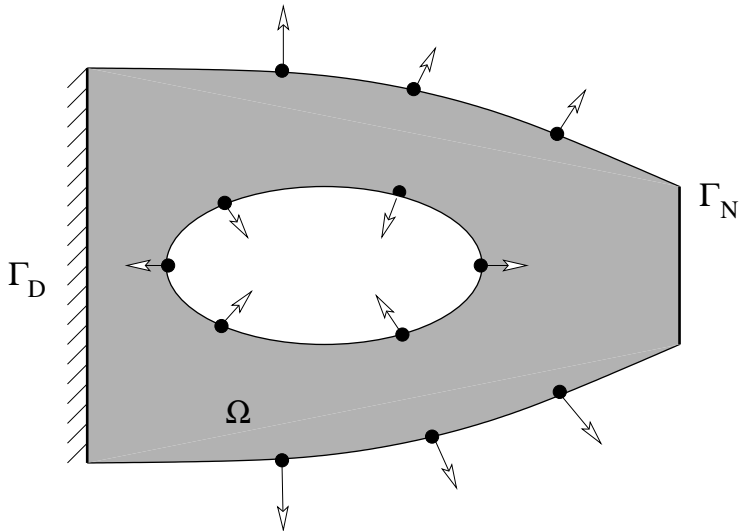
**Model problem:** a membrane is occupying a **variable** domain  $\Omega$  in  $\mathbb{R}^N$  with boundary

$$\partial\Omega = \Gamma \cup \Gamma_N \cup \Gamma_D,$$

where  $\Gamma \neq \emptyset$  is the variable part of the boundary,  $\Gamma_D \neq \emptyset$  is a fixed part of the boundary where the membrane is clamped, and  $\Gamma_N \neq \emptyset$  is another fixed part of the boundary where the loads  $g \in L^2(\Gamma_N)$  are applied.

$$\left\{ \begin{array}{ll} -\Delta u = 0 & \text{in } \Omega \\ u = 0 & \text{on } \Gamma_D \\ \frac{\partial u}{\partial n} = g & \text{on } \Gamma_N \\ \frac{\partial u}{\partial n} = 0 & \text{on } \Gamma \end{array} \right.$$

(No bulk forces to simplify)



## Geometric shape optimization problem

$$\inf_{\Omega \in \mathcal{U}_{ad}} J(\Omega)$$

We must define the set of admissible shapes  $\mathcal{U}_{ad}$ . That is the main difficulty.

### Examples:

- Compliance or work done by the load (rigidity measure)

$$J(\Omega) = \int_{\Gamma_N} g u \, ds$$

- Least square criterion for a target displacement  $u_0 \in L^2(\Omega)$

$$J(\Omega) = \int_{\Omega} |u - u_0|^2 \, dx$$

where  $u$  depends on  $\Omega$  through the state equation.

In full generality, there does not exist any optimal shape !

- Existence under a geometric constraint.
- Existence under a topological constraint.
- Existence under a regularity constraint.
- Counter-example in the absence of these conditions.

- How to represent or parametrize shapes ?
- How to define the set  $\mathcal{U}_{ad}$  of admissible shapes ?
- How to compute gradients with respect to shapes ?

**One possible approach:** fix a Lipschitz reference domain  $\Omega_0$  and consider only deformations of  $\Omega_0$  by diffeomorphisms  $T$ .

**A space of diffeomorphisms** (or smooth one-to-one map) in  $\mathbb{R}^N$

$$\mathcal{T} = \left\{ T \text{ such that } (T - \text{Id}) \text{ and } (T^{-1} - \text{Id}) \in W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N) \right\}.$$

(They are perturbations of the identity  $\text{Id}: x \rightarrow x$ .)



**Definition of  $W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$ .** Space of Lipschitz vectors fields:

$$\phi : \begin{cases} \mathbb{R}^N & \rightarrow \mathbb{R}^N \\ x & \rightarrow \phi(x) \end{cases}$$

$$\|\phi\|_{W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)} = \sup_{x \in \mathbb{R}^N} (|\phi(x)|_{\mathbb{R}^N} + |\nabla \phi(x)|_{\mathbb{R}^{N \times N}}) < \infty$$

Define a space of admissible shapes as

$$\mathcal{U}_{ad}(\Omega_0) = \{\Omega \text{ such that there exists } T \in \mathcal{T}, \Omega = T(\Omega_0)\}.$$

- Each shape  $\Omega$  is parametrized by a diffeomorphism  $T$  (**not unique !**).
- All admissible shapes have the **same topology**.

Recall that the considered diffeomorphisms  $T$  are perturbations of the identity.

Therefore, we restrict ourselves to diffeomorphisms of the type

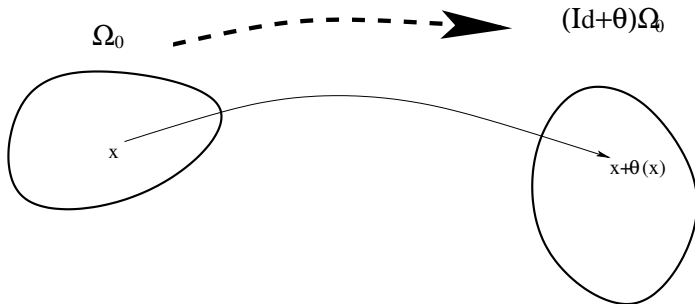
$$T = \text{Id} + \theta \quad \text{with} \quad \theta \in W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$$

**Idea:** we differentiate  $\theta \rightarrow J((\text{Id} + \theta)\Omega_0)$  at 0.

**Remark.** This approach generalizes the Hadamard method of boundary shape variations along the normal:  $\Omega_0 \rightarrow \Omega_t$  for  $t \geq 0$

$$\partial\Omega_t = \left\{ x_t \in \mathbb{R}^N \mid \exists x_0 \in \partial\Omega_0 \mid x_t = x_0 + t g(x_0) n(x_0) \right\}$$

with a given incremental function  $g$ .



The shape  $\Omega = (\text{Id} + \theta)(\Omega_0)$  is defined by

$$\Omega = \{x + \theta(x) \mid x \in \Omega_0\}.$$

Thus  $\theta(x)$  is a vector field which plays the role of the **displacement** of the reference domain  $\Omega_0$ .

**Lemma.** For any  $\theta \in W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$  satisfying  $\|\theta\|_{W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)} < 1$ , the map  $T = \text{Id} + \theta$  is one-to-one into  $\mathbb{R}^N$  and belongs to the set  $\mathcal{T}$ .

**Proof.** Exercise !

**Definition.** Let  $J(\Omega)$  be a map from the set of admissible shapes  $\mathcal{U}_{ad}(\Omega_0)$  into  $\mathbb{R}$ . We say that  $J$  is **shape differentiable at  $\Omega_0$**  if the function

$$\theta \rightarrow J((\text{Id} + \theta)(\Omega_0))$$

is Fréchet differentiable at 0 in the Banach space  $W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$ , i.e., there exists a linear continuous form  $L = J'(\Omega_0)$  on  $W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$  such that

$$J((\text{Id} + \theta)(\Omega_0)) = J(\Omega_0) + L(\theta) + o(\theta) \quad , \quad \text{with} \quad \lim_{\theta \rightarrow 0} \frac{|o(\theta)|}{\|\theta\|} = 0 .$$

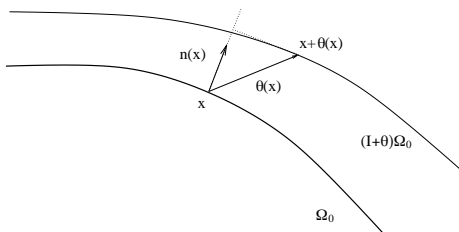
$J'(\Omega_0)$  is called the **shape derivative** and  $J'(\Omega_0)(\theta)$  is a directional derivative.

**Proposition.** Let  $\Omega_0$  be a smooth bounded open set of  $\mathbb{R}^N$ . Let  $J$  be a differentiable map at  $\Omega_0$  from  $\mathcal{U}_{ad}(\Omega_0)$  into  $\mathbb{R}$ . Its directional derivative  $J'(\Omega_0)(\theta)$  depends only on the **normal trace on the boundary** of  $\theta$ , i.e.

$$J'(\Omega_0)(\theta_1) = J'(\Omega_0)(\theta_2)$$

if  $\theta_1, \theta_2 \in C^1(\mathbb{R}^N; \mathbb{R}^N)$  satisfy

$$\theta_1 \cdot n = \theta_2 \cdot n \quad \text{on } \partial\Omega_0.$$



**Proposition (loose statement).** Let  $\Omega_0$  be a smooth bounded open set of  $\mathbb{R}^N$ . Let  $J$  be a differentiable map at  $\Omega_0$  from  $\mathcal{U}_{ad}(\Omega_0)$  into  $\mathbb{R}$ . Its directional derivative can be written

$$J'(\Omega_0)(\theta) = \int_{\partial\Omega_0} j(x) \theta \cdot n \, ds$$

for some distribution  $j$  on  $\partial\Omega_0$ .

**Lemma.** Let  $\Omega_0$  be a smooth bounded open set of  $\mathbb{R}^N$ ,  $f(x) \in W^{1,1}(\mathbb{R}^N)$  and  $J$  the map from  $\mathcal{U}_{ad}(\Omega_0)$  into  $\mathbb{R}$  defined by

$$J(\Omega) = \int_{\Omega} f(x) dx.$$

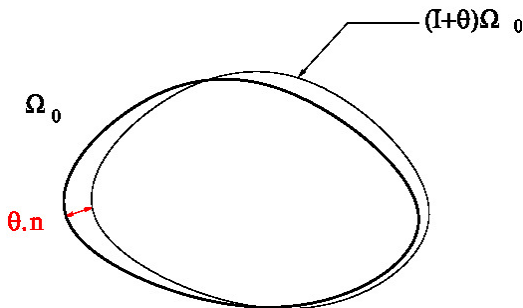
Then  $J$  is shape differentiable at  $\Omega_0$  and

$$J'(\Omega_0)(\theta) = \int_{\Omega_0} \operatorname{div}(\theta(x) f(x)) dx = \int_{\partial\Omega_0} \theta(x) \cdot n(x) f(x) ds$$

for any  $\theta \in W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$ .

**Remark.** To prove the lemma, the safest way (but not the easiest) is to make a [change of variables](#) to get back to the reference domain  $\Omega_0$ .





Surface swept by the transformation: difference between  $(\text{Id} + \theta)\Omega_0$  and  $\Omega_0 \approx \partial\Omega_0 \times (\theta \cdot n)$ . Thus

$$\int_{(\text{Id} + \theta)\Omega_0} f(x) dx = \int_{\Omega_0} f(x) dx + \int_{\partial\Omega_0} f(x) \theta \cdot n ds + o(\theta).$$

**Lemma.** Let  $\Omega_0$  be a smooth bounded open set of  $\mathbb{R}^N$ ,  $f(x) \in W^{2,1}(\mathbb{R}^N)$  and  $J$  the map from  $\mathcal{C}(\Omega_0)$  into  $\mathbb{R}$  defined by

$$J(\Omega) = \int_{\partial\Omega} f(x) \, ds.$$

Then  $J$  is shape differentiable at  $\Omega_0$  and

$$J'(\Omega_0)(\theta) = \int_{\partial\Omega_0} (\nabla f \cdot \theta + f(\operatorname{div}\theta - \nabla\theta n \cdot n)) \, ds$$

for any  $\theta \in W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^N)$ . By a (boundary) integration by parts this formula is equivalent to

$$J'(\Omega_0)(\theta) = \int_{\partial\Omega_0} \theta \cdot n \left( \frac{\partial f}{\partial n} + Hf \right) \, ds,$$

where  $H$  is the mean curvature of  $\partial\Omega_0$  defined by  $H = \operatorname{div} n$ .

This is tricky ! Let  $u(\Omega, x)$  be a function defined on the domain  $\Omega$ .  
There exist two notions of derivative:

## 1) Eulerian (or shape) derivative $U$

$$u((\text{Id} + \theta)\Omega_0, x) = u(\Omega_0, x) + U(\theta, x) + o(\theta)$$

OK if  $x \in \Omega_0 \cap (\text{Id} + \theta)\Omega_0$  (makes no sense on the boundary).

## 2) Lagrangian (or material) derivative $Y$

We define the **transported** function  $\bar{u}(\theta)$  on  $\Omega_0$  by

$$\bar{u}(\theta, x) = u \circ (\text{Id} + \theta) = u((\text{Id} + \theta)\Omega_0, x + \theta(x)) \quad \forall x \in \Omega_0.$$

The Lagrangian derivative  $Y$  is obtained by differentiating  $\bar{u}(\theta, x)$

$$\bar{u}(\theta, x) = \bar{u}(0, x) + Y(\theta, x) + o(\theta)$$

This is more rigorous and less prone to errors.

- To prove that the solution of a p.d.e. is shape differentiable and to find the formula for its derivative is always tedious.
- Instead, J. C ea proposed a simpler and faster (albeit formal) method, called the **Lagrangian method** that we use in the sequel.
- The Lagrangian allows us to find the correct definition of **the adjoint state** too.
- The Lagrangian yields the **shape derivative of an objective function** without knowing the shape derivative of the solution of the p.d.e.
- It is easy for Neumann boundary conditions, a little more involved for Dirichlet ones.

We consider the shape optimization problem

$$\inf_{\Omega \in \mathcal{U}_{ad}} J(\Omega) = \int_{\Omega} j(u) dx$$

with  $u \equiv u(\Omega)$  the solution in  $H^1(\Omega)$  of

$$\begin{cases} -\Delta u + u = f & \text{in } \Omega \\ \frac{\partial u}{\partial n} = g & \text{on } \partial\Omega, \end{cases}$$

with  $f \in H^1(\mathbb{R}^N)$  and  $g \in H^2(\mathbb{R}^N)$ .

The variational formulation is: find  $u \in H^1(\Omega)$  such that, for any  $\phi \in H^1(\Omega)$ ,

$$\int_{\Omega} (\nabla u \cdot \nabla \phi + u \phi) dx = \int_{\Omega} f \phi dx + \int_{\partial\Omega} g \phi ds$$

**Crucial point:** if  $\Omega$  is Lipschitz, then

$$H^1(\Omega) = \left\{ \phi|_{\Omega} \text{ with } \phi \in H^1(\mathbb{R}^N) \right\}$$

The **Lagrangian** is defined as the sum of  $J$  and of the variational formulation of the state equation

$$\mathcal{L}(\Omega, \hat{u}, \hat{p}) = \int_{\Omega} j(\hat{u}) \, dx + \int_{\Omega} \left( \nabla \hat{u} \cdot \nabla \hat{p} + \hat{u} \hat{p} - f \hat{p} \right) dx - \int_{\partial\Omega} g \hat{p} \, ds,$$

with  $\hat{u}$  and  $\hat{p} \in H^1(\mathbb{R}^N)$ .

It is important to notice that the space  $H^1(\mathbb{R}^N)$  **does not depend** on  $\Omega$  and thus the three variables in  $\mathcal{L}$  are clearly **independent**.

**We compute the three partial derivatives of the Lagrangian  $\mathcal{L}(\Omega, \hat{u}, \hat{p})$ .**

**The partial derivative of  $\mathcal{L}$  with respect to  $p$  in the direction  $\phi \in H^1(\mathbb{R}^N)$  is**

$$\left\langle \frac{\partial \mathcal{L}}{\partial p}(\Omega, \hat{u}, \hat{p}), \phi \right\rangle = \int_{\Omega} \left( \nabla \hat{u} \cdot \nabla \phi + \hat{u} \phi - f \phi \right) dx - \int_{\partial \Omega} g \phi ds,$$

which, equal to 0, gives the **variational formulation of the state  $u$** .

**The partial derivative of  $\mathcal{L}$  with respect to  $u$  in the direction  $\phi \in H^1(\mathbb{R}^N)$  is**

$$\left\langle \frac{\partial \mathcal{L}}{\partial u}(\Omega, \hat{u}, \hat{p}), \phi \right\rangle = \int_{\Omega} j'(\hat{u}) \phi dx + \int_{\Omega} \left( \nabla \phi \cdot \nabla \hat{p} + \phi \hat{p} \right) dx,$$

which, equal to 0, gives the **variational formulation of the adjoint  $p$** .

The partial derivative of  $\mathcal{L}$  with respect to  $\Omega$  in the direction  $\theta$  is

$$\frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, \hat{u}, \hat{p})(\theta) = \int_{\partial \Omega} \theta \cdot n \left( j(\hat{u}) + \nabla \hat{u} \cdot \nabla \hat{p} + \hat{u} \hat{p} - f \hat{p} - \frac{\partial(g \hat{p})}{\partial n} - H g \hat{p} \right) ds.$$

When evaluating this derivative with the state  $u(\Omega)$  and the adjoint  $p(\Omega)$ , we precisely find the **derivative of the objective function**

**Proposition.** The shape derivative of the objective function is

$$J'(\Omega)(\theta) = \frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, u(\Omega), p(\Omega))(\theta)$$



**Proof.** Indeed, if we differentiate the equality

$$\mathcal{L}(\Omega, u(\Omega), \hat{p}) = J(\Omega) \quad \forall \hat{p} \in H^1(\mathbb{R}^N),$$

the chain rule lemma yields

$$J'(\Omega)(\theta) = \frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, u(\Omega), \hat{p})(\theta) + \left\langle \frac{\partial \mathcal{L}}{\partial u}(\Omega, u(\Omega), \hat{p}), u'(\Omega)(\theta) \right\rangle$$

Taking  $\hat{p} = p(\Omega)$ , the last term cancels since  $p(\Omega)$  is the solution of the adjoint equation.

Thanks to this computation, the “correct” result can be guessed for  $J'(\Omega)$  without using the notions of shape or material derivatives.

Nevertheless, this “fast” computation of the shape derivative  $J'(\Omega)$  is rigorously valid only if  $u$  is known to be shape differentiable.

It is more involved ! Let  $u \in H_0^1(\Omega)$  be the solution of

$$\int_{\Omega} \nabla u \cdot \nabla \phi \, dx = \int_{\Omega} f \phi \, dx \quad \forall \phi \in H_0^1(\Omega).$$

The “usual” Lagrangian is

$$\mathcal{L}(\Omega, \hat{u}, \hat{p}) = \int_{\Omega} j(\hat{u}) \, dx + \int_{\Omega} \left( \nabla \hat{u} \cdot \nabla \hat{p} - f \hat{p} \right) dx,$$

for  $\hat{u}, \hat{p} \in H_0^1(\Omega)$ . The variables  $(\Omega, \hat{u}, \hat{p})$  are not independent !

Indeed, the functions  $\hat{u}$  and  $\hat{p}$  satisfy

$$\hat{u} = \hat{p} = 0 \quad \text{on } \partial\Omega.$$

Another Lagrangian has to be introduced.

The Dirichlet boundary condition is **penalized**

$$\mathcal{L}(\Omega, \hat{u}, \hat{p}, \lambda) = \int_{\Omega} j(\hat{u}) \, dx - \int_{\Omega} (\Delta \hat{u} + f) \hat{p} \, dx + \int_{\partial\Omega} \lambda \hat{u} \, ds$$

where  $\lambda$  is the Lagrange multiplier for the boundary condition. It is now possible to differentiate since **the 4 variables  $\hat{u}, \hat{p}, \lambda \in H^1(\mathbb{R}^N)$  and  $\Omega$  are independent.**

Of course, we recover

$$\sup_{\hat{p}, \lambda} \mathcal{L}(\Omega, \hat{u}, \hat{p}, \lambda) = \begin{cases} \int_{\Omega} j(u) \, dx = J(\Omega) & \text{if } \hat{u} \equiv u, \\ +\infty & \text{otherwise.} \end{cases}$$

By definition of the Lagrangian:

1) the partial derivative of  $\mathcal{L}$  with respect to  $p$  in the direction  $\phi \in H^1(\mathbb{R}^N)$  is

$$\left\langle \frac{\partial \mathcal{L}}{\partial p}(\Omega, \hat{u}, \hat{p}, \lambda), \phi \right\rangle = - \int_{\Omega} \phi \left( \Delta \hat{u} + f \right) dx,$$

which, equal to 0, gives the state equation,

2) the partial derivative of  $\mathcal{L}$  with respect to  $\lambda$  in the direction  $\phi \in H^1(\mathbb{R}^N)$  is

$$\left\langle \frac{\partial \mathcal{L}}{\partial \lambda}(\Omega, \hat{u}, \hat{p}, \lambda), \phi \right\rangle = \int_{\partial \Omega} \phi \hat{u} dx,$$

which, equal to 0, gives the Dirichlet boundary condition for the state equation.

3) To compute the partial derivative of  $\mathcal{L}$  with respect to  $u$ , we perform a first integration by parts

$$\mathcal{L}(\Omega, \hat{u}, \hat{p}, \lambda) = \int_{\Omega} j(\hat{u}) dx + \int_{\Omega} (\nabla \hat{u} \cdot \nabla \hat{p} - f \hat{p}) dx + \int_{\partial\Omega} \left( \lambda \hat{u} - \frac{\partial \hat{u}}{\partial n} \hat{p} \right) ds,$$

then a second integration by parts

$$\mathcal{L}(\Omega, \hat{u}, \hat{p}, \lambda) = \int_{\Omega} j(\hat{u}) dx - \int_{\Omega} (\hat{u} \Delta \hat{p} - f \hat{p}) dx + \int_{\partial\Omega} \left( \lambda \hat{u} - \frac{\partial \hat{u}}{\partial n} \hat{p} + \frac{\partial \hat{p}}{\partial n} \hat{u} \right) ds.$$

We now can differentiate in the direction  $\phi \in H^1(\mathbb{R}^N)$

$$\left\langle \frac{\partial \mathcal{L}}{\partial u}(\Omega, \hat{u}, \hat{p}), \phi \right\rangle = \int_{\Omega} j'(\hat{u}) \phi dx - \int_{\Omega} \phi \Delta \hat{p} dx + \int_{\partial\Omega} \left( -\hat{p} \frac{\partial \phi}{\partial n} + \phi \left( \lambda + \frac{\partial \hat{p}}{\partial n} \right) \right) ds$$

which, equal to 0, gives three relationships, the two first ones being the adjoint problem.

- ① If  $\phi$  has compact support in  $\Omega$ , we get

$$-\Delta p = -j'(u) \quad \text{in } \Omega.$$

- ② If  $\phi = 0$  on  $\partial\Omega$  with any value of  $\frac{\partial\phi}{\partial n}$  in  $L^2(\partial\Omega)$ , we deduce

$$p = 0 \quad \text{on } \partial\Omega.$$

- ③ If  $\phi$  is now varying in the full  $H^1(\Omega)$ , we find

$$\frac{\partial p}{\partial n} + \lambda = 0 \quad \text{on } \partial\Omega.$$

The adjoint problem has actually been recovered but **furthermore** the optimal Lagrange multiplier  $\lambda$  has been characterized.

4) Eventually, the shape partial derivative is

$$\frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, \hat{u}, \hat{p}, \lambda)(\theta) = \int_{\partial \Omega} \theta \cdot n \left( j(\hat{u}) - (\Delta \hat{u} + f) \hat{p} + \frac{\partial(\hat{u} \lambda)}{\partial n} + H \hat{u} \lambda \right) ds$$

**Proposition.** The shape derivative of the objective function is

$$J'(\Omega)(\theta) = \frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, u(\Omega), p(\Omega), \lambda)(\theta)$$

Knowing that  $u = p = 0$  on  $\partial \Omega$  and  $\lambda = -\frac{\partial p}{\partial n}$  we deduce

$$J'(\Omega)(\theta) = \int_{\partial \Omega} \theta \cdot n \left( j(0) - \frac{\partial u}{\partial n} \frac{\partial p}{\partial n} \right) ds$$

**Proof.** We differentiate the equality

$$\mathcal{L}(\Omega, u(\Omega), \hat{p}, \lambda) = J(\Omega) \quad \forall \hat{p}, \lambda \in H^1(\mathbb{R}^N),$$

the chain rule lemma yields

$$J'(\Omega)(\theta) = \frac{\partial \mathcal{L}}{\partial \Omega}(\Omega, u(\Omega), \hat{p}, \lambda)(\theta) + \left\langle \frac{\partial \mathcal{L}}{\partial u}(\Omega, u(\Omega), \hat{p}, \lambda), u'(\Omega)(\theta) \right\rangle$$

Taking  $\hat{p} = p(\Omega)$  and  $\lambda$  optimal, the last term cancels since  $p(\Omega)$  is the solution of the adjoint equation and  $\frac{\partial p}{\partial n} + \lambda = 0$ .

Again, this “fast” computation of the shape derivative  $J'(\Omega)$  is **rigorously valid only if**  $u$  is known to be shape differentiable.



Let  $t > 0$  be a given descent step. We compute a sequence  $\Omega_k \in \mathcal{U}_{ad}$  by

- 1 Initialization of the shape  $\Omega_0$ .
- 2 Iterations until convergence, for  $k \geq 0$ :

$$\Omega_{k+1} = (\text{Id} + \theta_k)\Omega_k \quad \text{with} \quad \theta_k = t(j_k - \ell_k)n,$$

where  $n$  is the normal to the boundary  $\partial\Omega_k$  and  $\ell_k \in \mathbb{R}$  is the Lagrange multiplier such that  $\Omega_{k+1}$  satisfies the volume constraint. The shape derivative is given on the boundary  $\Gamma_k$  by

$$J'(\Omega_k)(\theta) = - \int_{\Gamma} \theta \cdot n j_k \, ds$$

Free boundary  $\Gamma$ . Fixed boundary  $\Gamma_N$  and  $\Gamma_D$ .

$$\begin{cases} -\operatorname{div} \sigma = 0 & \text{in } \Omega \\ \sigma = 2\mu e(u) + \lambda \operatorname{tr}(e(u)) \operatorname{Id} & \text{in } \Omega \\ u = 0 & \text{on } \Gamma_D \\ \sigma n = g & \text{on } \Gamma_N \\ \sigma n = 0 & \text{on } \Gamma, \end{cases}$$

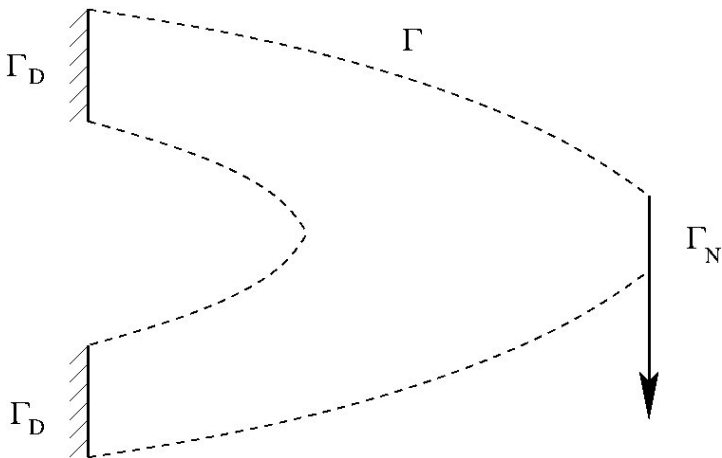
with  $e(u) = (\nabla u + (\nabla u)^t)/2$ . Compliance is minimized

$$J(\Omega) = \int_{\Gamma_N} g \cdot u \, dx.$$

In such a (self-adjoint) case we get

$$J'(\Omega)(\theta) = - \int_{\Gamma} \theta \cdot n (2\mu |e(u)|^2 + \lambda (\operatorname{tr} e(u))^2) \, ds.$$

Boundary conditions for an **elastic cantilever**:  $\Gamma_D$  is the left vertical side,  $\Gamma_N$  is the right vertical side, and  $\Gamma$  (dashed line) is the remaining boundary.



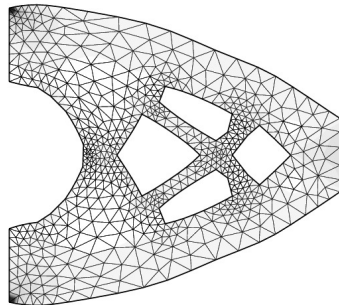
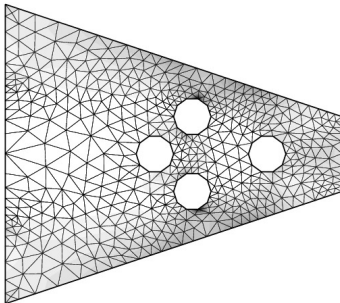
To change the shape we need to automatically remesh the new shape, or at least to deform the mesh at each iteration.

- Displacement field  $\theta$  proportional to  $n$  (normal to the boundary), merely defined on the boundary.
- In such a case we have to extend  $\theta$  inside the shape.
- We need to check that the displaced boundaries do not cross...
- Nevertheless, in case of large shape deformations we must remesh (it is computationally costly).
- Often the algorithm stops before convergence because of geometrical constraints.

FreeFem++ computations ; scripts available on the web page

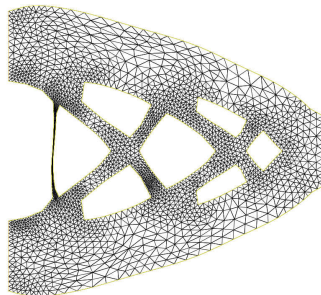
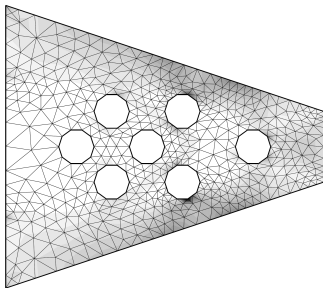
[http://www.cmap.polytechnique.fr/~allaire/cours\\_X\\_annee3.html](http://www.cmap.polytechnique.fr/~allaire/cours_X_annee3.html)

Numerical example for the cantilever:  
initial shape (left), “optimal” shape (right)



- Convergence in 20 iterations.
- Global or local minimum ?
- No topology changes.

Numerical example for the cantilever:  
initial shape (left), “optimal” shape (right)



- No convergence ! Rather, problem with a thin bar...
- One more local minimum !