

Shape and topology optimization of structures built by additive manufacturing

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CMAP, École Polytechnique



CMM, Santiago de Chile, December 1-22, 2022

- 1 - Introduction: a review of additive manufacturing
- 2 - Parametric optimization and the adjoint method
- 3 - Geometric optimization and Hadamard method
- 4 - Topology optimization and the level set method
- 5 - Typical constraints from additive manufacturing
- 6 - Optimization of lattice materials
- 7 - Coupled shape and laser path optimization

A "hot" topic with a lot of room for new ideas and modeling...

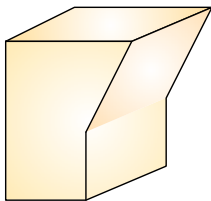
Chapter 5 - Typical constraints from additive manufacturing

- I - Self-supported structures
- II - Support optimization
- III - Imperfect interface for supports
- IV - Residual thermal stress constraint
- V - Inherent strain model

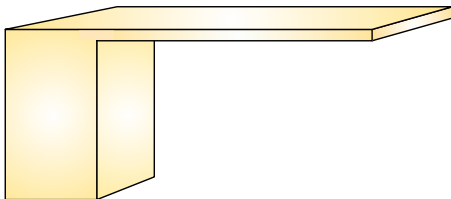


Sofia project: Add-Up, Michelin, Safran, ESI, etc. (2016-2022)

We study constraints for **overhang limitation**.



OK (left)



non-printable (right)

Overhangs lead to bad 3-d printing. They can

- 1 either be taken into account by **adding support material**,
- 2 or be avoided by **penalization** during the optimization process.

We follow the second idea.

Minimize the **compliance** over a set \mathcal{U}_{ad} of admissibles shapes Ω

$$\min_{\Omega \in \mathcal{U}_{ad}, P(\Omega) \leq 0} J(\Omega) = \int_{\Gamma_N} g \cdot u \, ds$$

with a constraint $P(\Omega)$ to avoid overhangs.

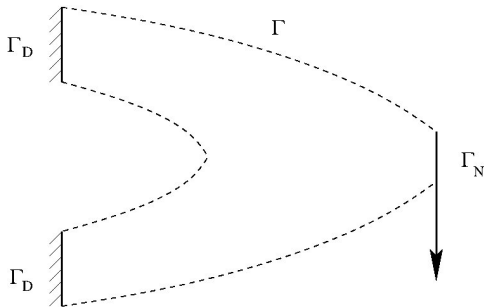
The displacement u_Ω is the solution of

$$\begin{cases} -\operatorname{div}(A e(u)) = 0 & \text{in } \Omega \\ u = 0 & \text{on } \Gamma_D \\ (A e(u)) n = g & \text{on } \Gamma_N \\ (A e(u)) n = 0 & \text{on } \Gamma \end{cases}$$

with the strain tensor $e(u) = \frac{1}{2} (\nabla u + (\nabla u)^T)$ and the stress tensor $\sigma = A e(u)$.

Fix $D \subset \mathbb{R}^d$ and V_0 a prescribed volume

$$\mathcal{U}_{ad} = \left\{ \Omega \subset D \text{ such that } \Gamma_D \cup \Gamma_N \subset \partial\Omega \text{ and } \int_{\Omega} dx = V_0 \right\},$$



Shape $\Omega \subset \mathbb{R}^d$ with boundary $\partial\Omega = \Gamma \cup \Gamma_N \cup \Gamma_D$, where Γ_D and Γ_N are fixed. **Only Γ is optimized (free boundary).**

Idea: we forbid some angles of the normal to the shape with the build direction d .

For a given angle ϕ , our pointwise criterion reads

$$n(x) \cdot d \leq \cos \phi, \quad \forall x \in \partial\Omega.$$

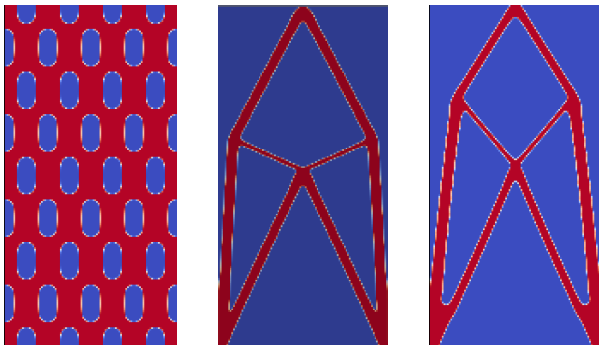
Denoting $(\cdot)^+ \equiv \max(\cdot, 0)$, a global penalized constraint is

$$P(\Omega) = \int_{\partial\Omega} \left[\left(n(s) \cdot d - \cos \phi \right)^+ \right]^2 ds$$

We prescribe favorable orientations of the normal, n_{g_i} , $1 \leq i \leq m$, which correspond to the normalized gradient of m motifs.

The new constraint is

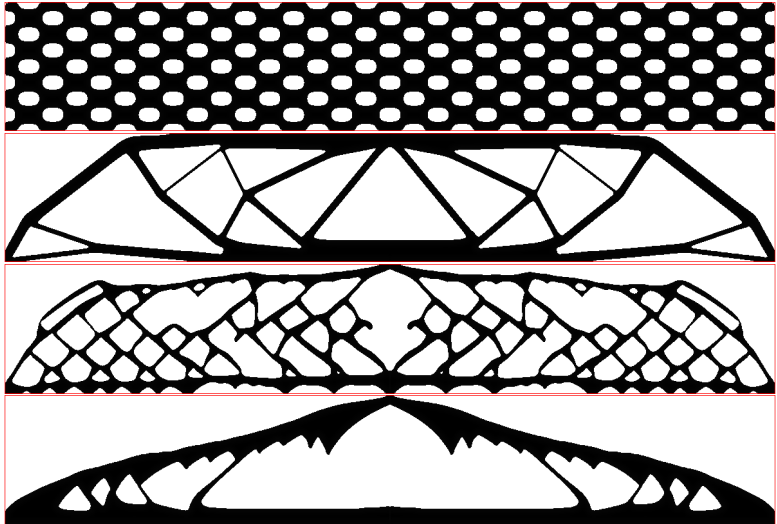
$$P(\Omega) = \int_{\partial\Omega} \prod_{i=1}^m |n(s) - n_{g_i}|^2 ds$$



Initialization and optimal designs: **without** (left) and **with** **constraint** (right).

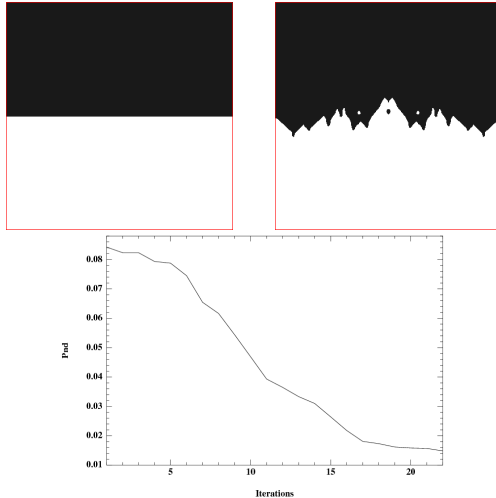
10 motif directions n_{g_i} corresponding to angles between -45° and 45° .

Sometime it doesn't work: dripping effect

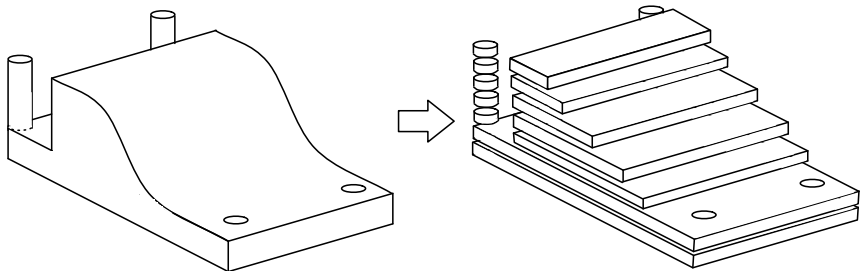


Horizontal boundaries are replaced by oscillating boundaries.   

Explanation of the dripping effect



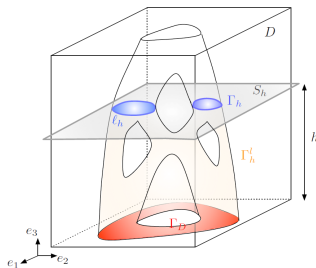
Oscillating boundaries perform better than horizontal ones for $P(\Omega)$.



Define intermediate "**layer by layer**" shapes, for $1 \leq i \leq n$ and $h_i = H_i/n$,

$$\Omega_i = \{x \in \Omega \text{ such that } 0 < x_d < h_i\}$$

Apply self-weight to the shapes Ω_i , compute its compliance, sum them up and apply an upper bound constraint.



For each shape Ω_i , u_i solves the elasticity system:

$$\begin{cases} -\operatorname{div}(A e(u_i)) &= \rho g & \text{in } \Omega_i, \\ u_i &= 0 & \text{on } \Gamma_D, \\ (A e(u_i)) n &= 0 & \text{on } \Gamma_i, \end{cases}$$

Global self-weight compliance constraint:

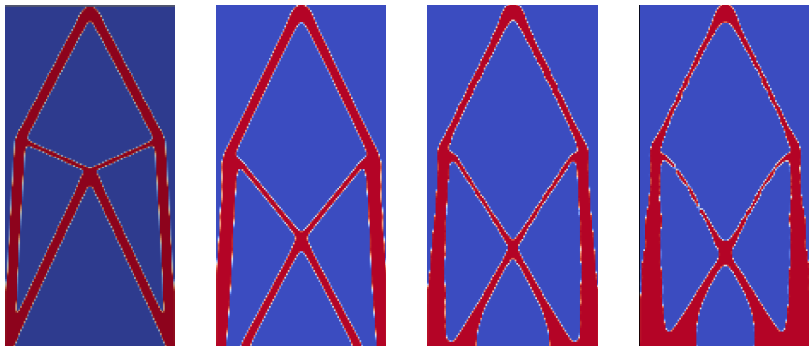
$$P(\Omega) = \sum_{i=1}^n \int_{\Omega_i} A e(u_i) : e(u_i) dx = \sum_{i=1}^n \int_{\Omega_i} \rho g \cdot u_i dx$$

We solve the optimization problem:

$$\begin{aligned} \min_{\Omega \subset D} \quad & J(\Omega) = \int_{\Gamma_N} g \cdot u \, ds \\ \text{s.t.} \quad & V(\Omega) \leq 0.20|D| \\ & P(\Omega) \leq \alpha P(\Omega_{ref}), \alpha \in (0, 1). \end{aligned}$$

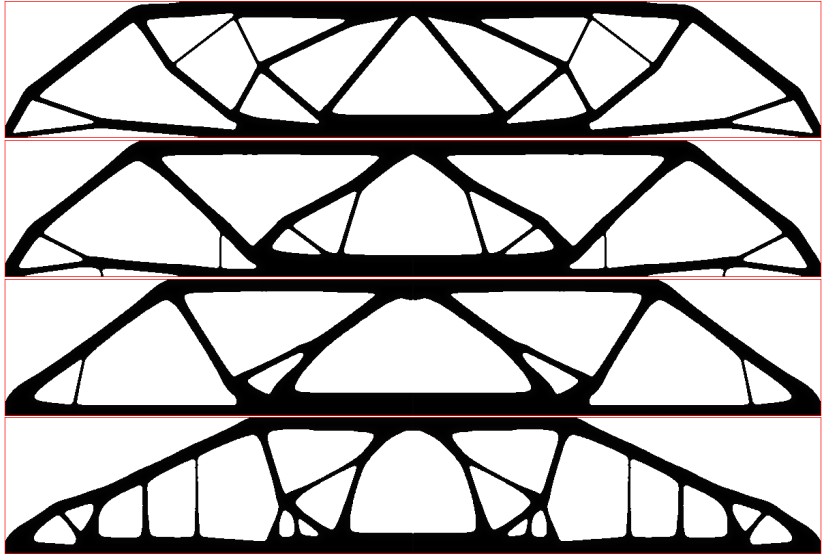
where Ω_{ref} is the optimal design without constraint and α is a parameter of the method.

Remark: we compute the shape derivative of the constraint $P(\Omega)$ and apply a Lagrangian optimization algorithm.



Optimal designs: without constraint (left), decreasing parameter $\alpha = 0.8, 0.5, 0.3$ (right).

Self-weight compliance constraint



Variant for a better efficiency: only the upper part of the structure is loaded.

$$g_{\delta}(x) = \begin{cases} g & \text{if } h_i - \delta < x_d < h_i, \\ 0 & \text{otherwise,} \end{cases}$$

where h_i is the height of Ω_i and $\delta > 0$.

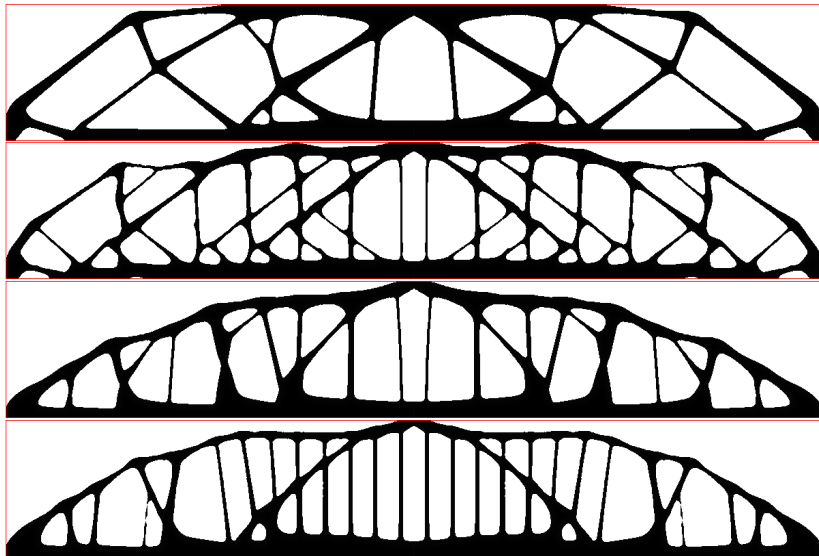
For each shape Ω_i , u_i solves the elasticity system:

$$\begin{cases} -\operatorname{div}(A e(u_i)) &= \rho g_{\delta} & \text{in } \Omega_i, \\ u_i &= 0 & \text{on } \Gamma_D, \\ (A e(u_i))n &= 0 & \text{on } \Gamma_i, \end{cases}$$

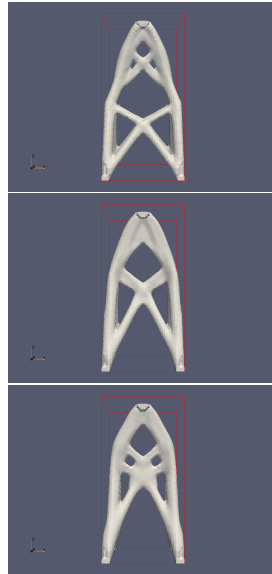
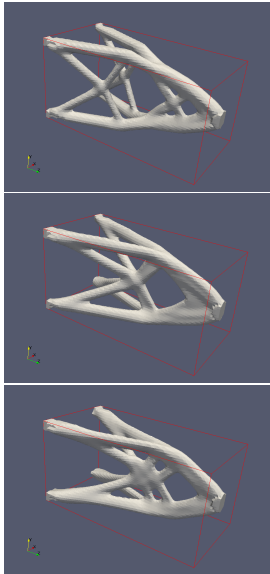
Global upper-weight compliance constraint:

$$P(\Omega) = \sum_{i=1}^n \int_{\Omega_i} A e(u_i) : e(u_i) dx = \sum_{i=1}^n \int_{\Omega_i} \rho g_{\delta} \cdot u_i dx$$

Upper-weight compliance constraint



Upper-weight compliance constraint in 3-d



- Almost horizontal overhang surfaces cannot be realized by additive manufacturing.
- Nevertheless, sometime the design of the structure is imposed and cannot be changed...

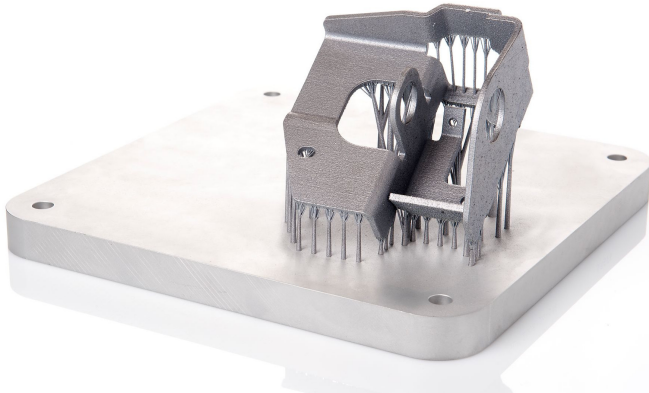
In such a case, put supports under the overhangs !



Supports can be full material or a lattice (perforated) material.



Supports can have a tree structure (Magics ®).





- they support inclined surfaces
- they fix the shape to the baseplate

Drawbacks

Impression time, additional material consumption, post-processing (removal)

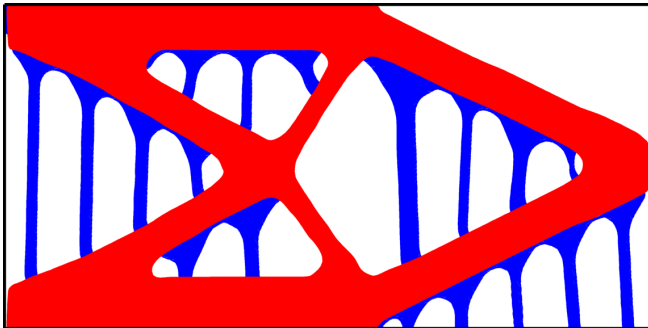


- they support inclined surfaces
- they fix the shape to the baseplate

Optimization goals

Given a certain design, to insure its successful 3D printing, optimize the **orientation** and **topology** of supports

- design domain D (here, a rectangle)
- given structure $\omega \subset D$ (in red) to be printed and **not optimizable**
- supports $S \subset D$ (in blue) **to be optimized**
- mechanical model in $\Omega = \omega \cup S$
- objective function to mitigate overhangs



Typical formulation

Minimize $J(S)$,

where $J(S)$ is related to the rigidity of the total shape $\Omega = S \cup \omega$.

- the structure ω is fixed and only the support S is optimizable
- the state equation is posed in the union $S \cup \omega$
- the material parameters may be different in ω and S
- Forces: model the "instability" of inclined regions
- Volume constraint for the support $\text{Vol}(S)$

Pseudo-gravity loads, parallel to the build direction, in ω and S :

$$\left\{ \begin{array}{ll} -\operatorname{div} \sigma &= g(\rho_{\omega} \chi_{\omega} + \rho_S \chi_S) & \Omega = \omega \cup S \\ \sigma &= 2\mu e(u) + \lambda \operatorname{div} u \operatorname{Id} & \Omega \\ e(u) &= \frac{1}{2}(\nabla u + \nabla^t u) & \Omega \\ u &= 0 & \Gamma_D \\ \sigma \cdot n &= 0 & \Gamma_N \end{array} \right.$$

Compliance minimization:

$$J(S) = \int_{\omega \cup S} g(\rho_{\omega} \chi_{\omega} + \rho_S \chi_S) \cdot u$$

where χ_{ω} and χ_S are the characteristic functions of ω and S .
Typically $\rho_S = 0$.

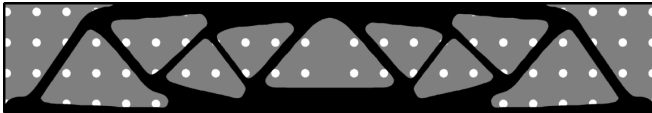
Theorem. The compliance $J(S)$ is shape differentiable and its derivative is

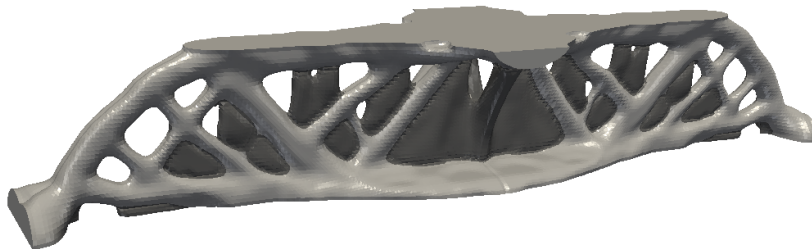
$$J'(S)(\theta) = \int_{\partial S \setminus \partial \omega} (-Ae(u) \cdot e(u) + 2\rho g \cdot u) \theta \cdot n \, ds$$

Numerical method (as in chapter 4):

- the support S is represented by a **level set function**
- the shape derivative is used for advecting the level set
- an **augmented Lagrangian algorithm** allows to take into account constraints
- **FreeFem++**, what else ?

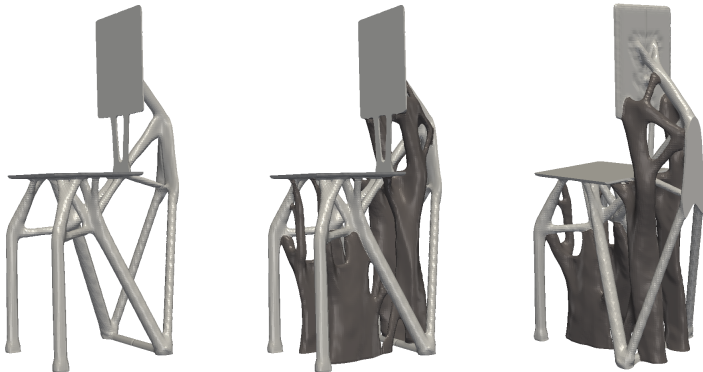
MBB beam in 2D (supports in grey)





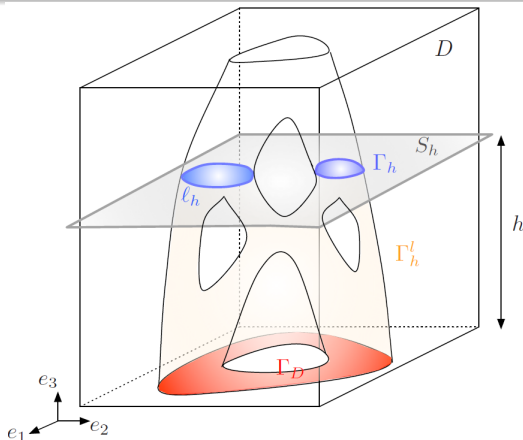
► Rotation

Chair in 3D



► Rotation

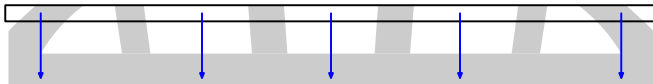
► Optimization



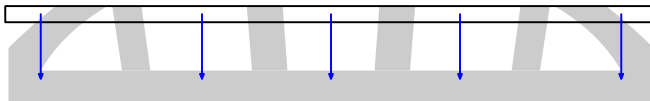
For a final shape $\Omega = \omega \cup S$, define **intermediate shapes** Ω_i of increasing height h_i

$$\Omega_i = \{x \in \Omega \text{ such that } x_d \leq h_i\} \quad 1 \leq i \leq n.$$

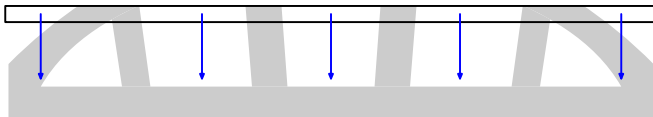
- Since the fabrication process operates layer by layer, optimize layer by layer !
- Idea already used in the previous section.
- Minimize the sum of compliances of all intermediate shapes Ω_j .
- Better modeling but higher computational cost



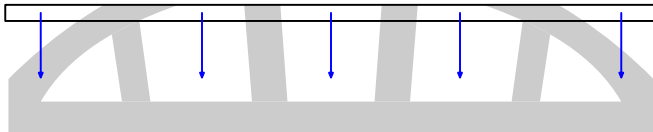
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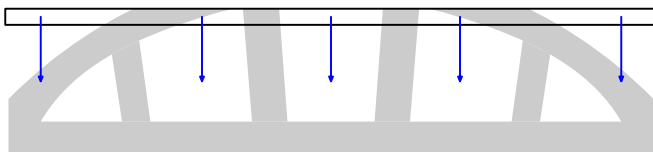
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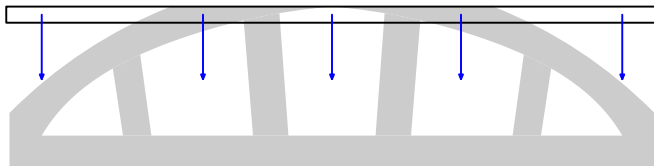
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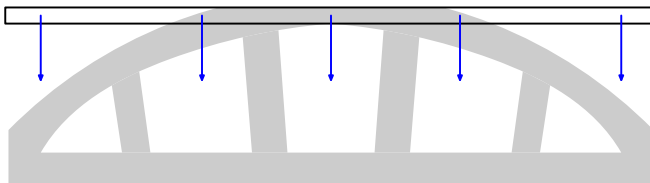
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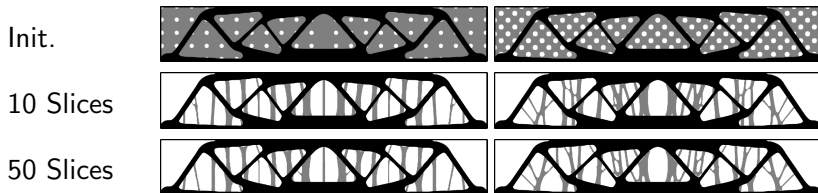
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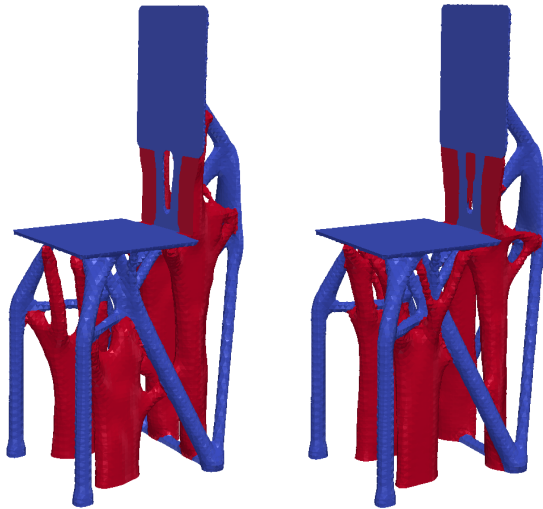


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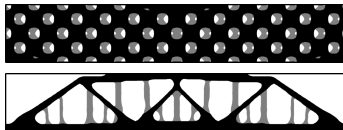
3D chair, layer by layer

5 and 10 slices



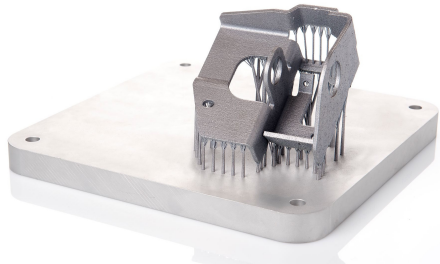
- at every iteration we solve **two** state equations : one for the final loads on the structure ω alone and another for the building loads on the supported structure $S \cup \omega$
- evolve the two shapes simultaneously using **two level set functions for the parametrization**
- **different shape derivatives on $\partial\omega \setminus S, \partial S \setminus \omega$ and $\partial\omega \cap \partial S$**

The MBB example: [▶ video](#)

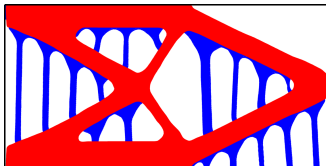


III - Imperfect interface for supports

To ease the removal of supports, their contact with the built structure is made **fragile** on purpose.



- The tree structure or the dotted line of holes (for ease of separation) between the support and structure cannot be meshed exactly for macroscopic computations.
- Instead, they are modeled through an **imperfect interface** condition.



Setting:

- the built structure ω is **fixed**.
- only the support S is **optimized**.
- the material parameters between ω and S are the same.

- Let Γ be the interface between ω and S with unit normal ν (outward S).
- Let u be the displacement and σ the stress tensor.
- The jump of a discontinuous quantity Q through Γ is denoted $[Q] = Q_\omega - Q_S$.

The displacement is discontinuous through Γ .

The normal stress $\sigma\nu$ is continuous through Γ .

The normal stress is a function of the displacement jump

$$R^{-1}[u] + \sigma\nu = 0$$

where R^{-1} is the rigidity of the interface.

For a smooth applied load F , the displacement u is the solution of

$$\begin{cases} -\operatorname{div} \sigma(u) = F & \text{in } \omega \text{ and in } S, \\ u = 0 & \text{on } \Gamma_D, \\ \sigma(u)n = 0 & \text{on } \Gamma_N, \\ [\sigma(u)\nu] = 0 & \text{on } \Gamma = \partial S \cap \partial\omega \\ [u] = -R\sigma(u) \cdot \nu & \text{on } \Gamma = \partial S \cap \partial\omega, \end{cases}$$

with $\sigma(u) = Ae(u) = 2\mu e(u) + \lambda \operatorname{div} u \operatorname{Id}$, $e(u) = \frac{1}{2} (\nabla u + \nabla^t u)$, the jump $[f] = f_\omega - f_S$, $\nu = n_\omega$ the normal to Γ .

The matrix R is the compliance (inverse of rigidity) of the interface

$$R = \alpha(\operatorname{Id} - \nu \otimes \nu) + \beta \nu \otimes \nu,$$

where $\alpha, \beta > 0$ are the tangential and normal compliances.

The **shape optimization** problem is the compliance minimization

$$\inf_{S \in \mathcal{U}_{ad}} J(S) = \int_{\omega \cup S} F \cdot u \, dx,$$

where the set of **admissible supports** is typically

$$\mathcal{U}_{ad} = \left\{ S \subset D \setminus \omega \text{ open set such that } \int_S dx = V_0 \right\},$$

where $D \subset \mathbb{R}^d$ is given and V_0 is a prescribed volume.

Remark. By definition the interface $\Gamma = \partial S \cap \partial \omega$ is **constrained** to belong to $\partial \omega$.

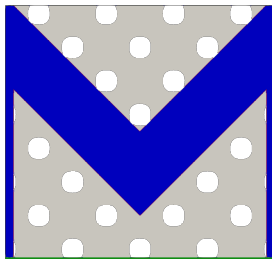
Theorem. Assume $\theta \cdot n = 0$ on $\partial S \cap \partial \omega$. The shape derivative of the compliance is given by

$$J'(S)(\theta) = \int_{\partial S \setminus \partial \omega} (-Ae(u) \cdot e(u) + 2F \cdot u) \theta \cdot n \, ds \\ - \int_{\partial(\partial S \cap \partial \omega)} R^{-1}[u] \cdot [u] \theta \cdot \tau \, dl$$

where τ is the tangent vector to $\partial \omega$, normal to $\partial S \cap \partial \omega$, and dl is the $(d - 2)$ dimensional measure along $\partial(\partial S \cap \partial \omega)$.

M-structure (standard test case)

The structure and supports are fixed on the bottom side. The force is gravity with the same material density.



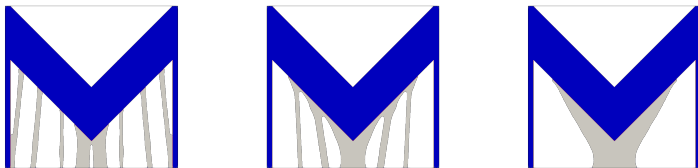
The 'M-part' (light blue) and its support initialization (dark blue). The domain is $D = [-1.6, 1.6]^2$ and $V(\omega) = 3.6$. The objective volume for S is $V_{sup} = 1.0$



Optimized supports for $\alpha = \beta = 0.001$ (left), $\alpha = \beta = 20$ (center) and $\alpha = \beta = 50$ (right).

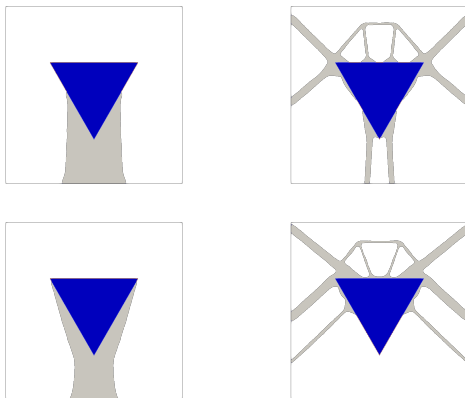


Optimized supports for $\alpha = \beta = 0.001$ (left), $\alpha = 20, \beta = 10$ (center) and $\alpha = 50, \beta = 10$ (right).

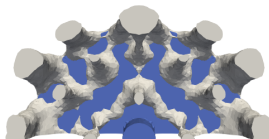
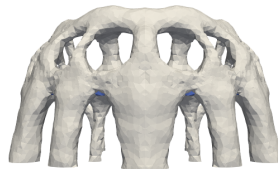
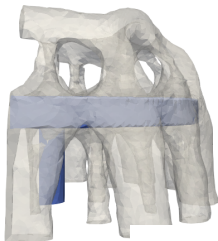


Optimized supports for $\alpha = \beta = 0.001$ (left), $\alpha = 10, \beta = 20$ (center) and $\alpha = 10, \beta = 50$ (right).

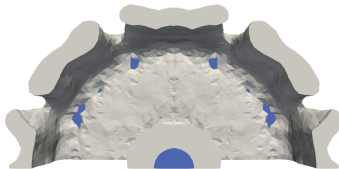
Supports can be attached to any side, except the upper one.



$\alpha = \beta = 0.001$ (upper left), $\alpha = \beta = 50$ (upper right),
 $\alpha = 10, \beta = 50$ (lower left) and $\alpha = 50, \beta = 10$ (lower right).



weak interface $\alpha = \beta = 400$



normally weak interface $\alpha = 1$ and $\beta = 100$

- Introduce intermediate "**layer by layer**" shapes $(\Omega_i)_{i=1,\dots,n}$.
- Each layer i is built between time t_{i-1} and t_i .
- Holes are now **filled by a metallic powder**.
- Thermal residual stress computed by a model as in
L. Van Belle, J.-C. Boyer, G. Vansteenkiste, *Investigation of residual stresses induced during the selective laser melting process*, Key Engineering Materials, 1828-2834 (2013).
M. Megahed, H.-W. Mindt, N. NâDri, H. Duan, O. Desmaison, *Metal additive-manufacturing process and residual stress modeling*, Integrating Materials and Manufacturing Innovation, 5:4, (2016).

For a given applied load $f : \Gamma_N \rightarrow \mathbb{R}^d$,

$$\begin{cases} -\operatorname{div}(A e(u_{final})) = 0 & \text{in } \Omega \\ u_{final} = 0 & \text{on } \Gamma_D \\ (A e(u_{final})) n = f & \text{on } \Gamma_N \\ (A e(u_{final})) n = 0 & \text{on } \Gamma \end{cases}$$

Objective function: **compliance**

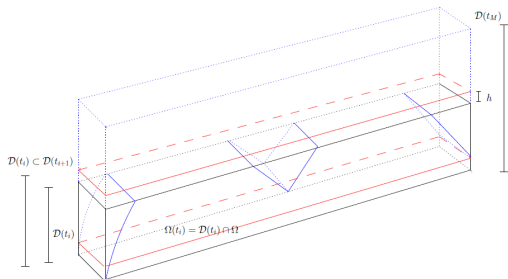
$$J(\Omega) = \int_{\Gamma_N} f \cdot u_{final} dx,$$

Heat equation:

$$\left\{ \begin{array}{ll} \rho \frac{\partial T}{\partial t} - \operatorname{div}(\lambda \nabla T) = Q(t) & \text{in } (t_{i-1}, t_i) \times D_i \\ T = T_{init} & \text{on } (t_{i-1}, t_i) \times \Gamma_{base} \\ \lambda \nabla T \cdot n = -H_e(T - T_{init}) & \text{on } (t_{i-1}, t_i) \times (\partial D_i \setminus \Gamma_{base}) \\ T(t = t_{i-1}) = T_{init} & \text{in } D_i \setminus D_{i-1} \end{array} \right.$$

Thermoelastic quasi-static equation:

$$\left\{ \begin{array}{ll} -\operatorname{div}(\sigma) = 0 & \text{and } \sigma = \sigma^{el} + \sigma^{th} \quad \text{in } (t_{i-1}, t_i) \times D_i, \\ \sigma^{el} = A e(u) & \text{and } \sigma^{th} = K(T - T_{init}) \operatorname{Id}, \end{array} \right.$$



- 1 Each layer i is built between time t_{i-1} and t_i , $1 \leq i \leq n$.
- 2 Build chamber D , vertical build direction e_d .
- 3 Intermediate domains $D_i = \{x \in D \text{ such that } x_d \leq h_i\}$.
- 4 Final shape Ω and intermediate shapes $\Omega_i = \Omega \cap D_i$.
- 5 Mixture $D_i = \Omega_i \cup P_i$ of solid and powder.

The objective function is

$$J(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_{D_i} j(u, \sigma, T) dx dt$$

where (u, σ, T) is the displacement, stress and temperature fields for the **intermediate shapes**. A constraint on the compliance of the final shape is imposed

$$C(\Omega) = \int_{\Omega} f \cdot u_{final} dx \leq C(\Omega_{ref}),$$

where u_{final} is the elastic displacement for the **final shape**

$$-\operatorname{div}(A e(u_{final})) = f \quad \text{in } \Omega$$

The shape derivative of $J(\Omega)$ is computed by an adjoint method.

Example for an objective $j(u)$ (without T and σ for simplicity).

Elasticity adjoint equation: no "backward effect"

$$-\operatorname{div}(e(\eta)) = -j'(u) \quad \text{in } (t_{i-1}, t_i) \times D_i$$

Adjoint heat equation: backward in time, from $i = n$ to 1,

$$\left\{ \begin{array}{ll} \rho \frac{\partial p}{\partial t} + \operatorname{div}(\lambda \nabla p) = K \operatorname{div} \eta & \text{in } (t_{i-1}, t_i) \times D_i \\ p = 0 & \text{on } (t_{i-1}, t_i) \times \Gamma_{base} \\ \lambda \nabla p \cdot n = -H_e p & \text{on } (t_{i-1}, t_i) \times (\partial D_i \setminus \Gamma_{base}) \\ p(t = t_n) = 0 & \text{in } D_n \end{array} \right.$$

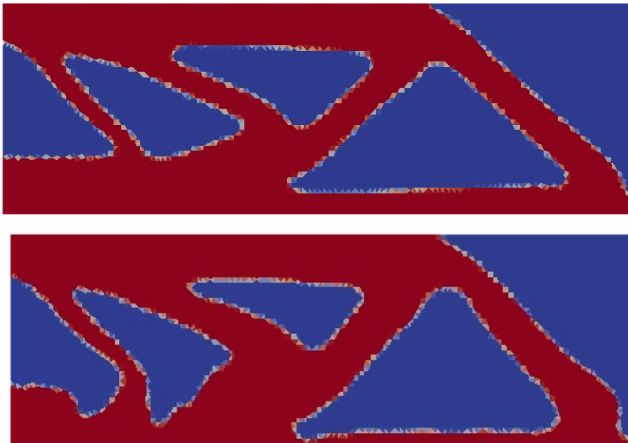
Reversed order of coupling: **first**, solve the adjoint elasticity, **second**, the adjoint heat equation.

- Half MBB beam (2-d).
- **Full model with 20 layers and 5 time steps per layer.**
- Minimize the deviatoric part of the stress $\sigma_D = 2\mu e(u)_D$

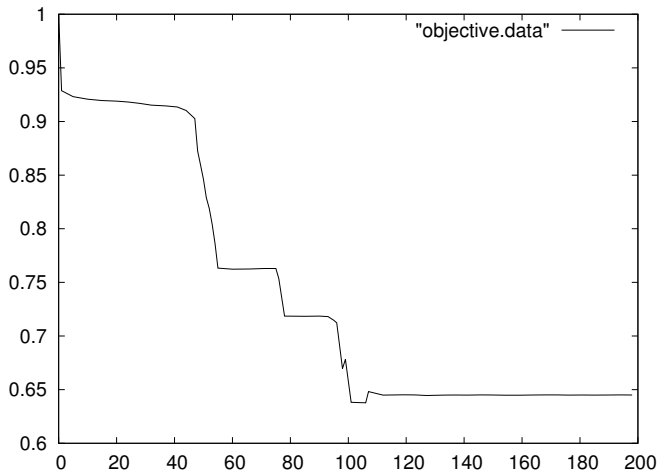
$$J_1(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_D |\sigma_D|^2 dx dt$$

- Constraints on volume (fixed) and compliance.
- Initial design: optimal design for compliance minimization.

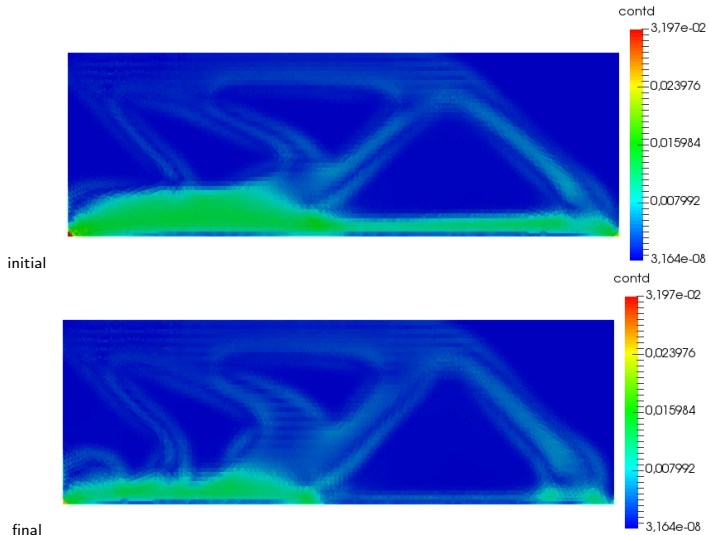
Initial (top) and final (bottom) shape



Convergence history (thermal stress)



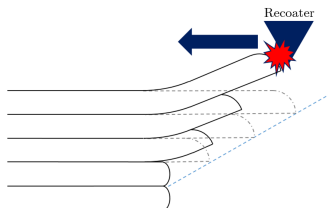
Plot of thermal stress $\sqrt{\int_0^T |\sigma^D|^2(x) dt}$



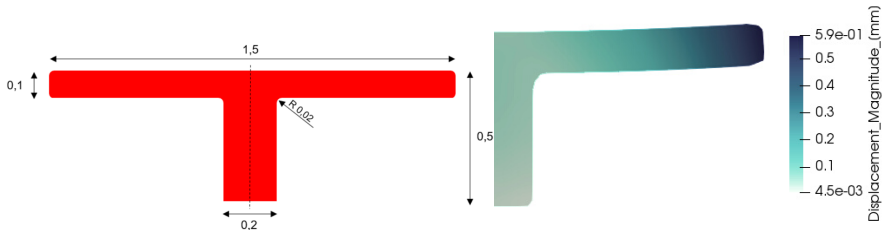
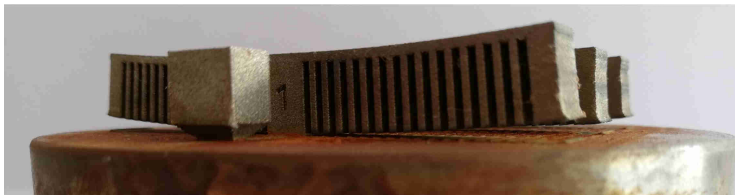
We now optimize supports to **minimize thermal deformations**.

It requires a thermo-mechanical model. For example:

- thermo-elasticity and heat equation (previous section),
- **inherent strain model**.



Sketch of the layer deformation, which can stop the layer deposition, because of thermal retraction upon cooling.



Geometry of T-shape (left), vertical displacement (right) induced by the fabrication process (simulation of a thermo-elastic model).

A well-known model for welding process. **No heat equation !**

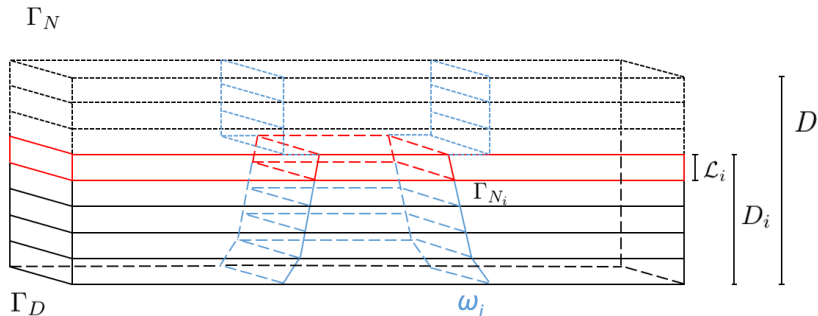
The thermal effects are encoded in a given **inherent strain tensor** ϵ^* .

Solve the standard quasi-static elasticity equations with a stress tensor defined by

$$\sigma = \sigma^{el} + \sigma^{inh} \quad \text{with} \quad \sigma^{el} = Ae(u) \text{ and } \sigma^{inh} = A\epsilon^*.$$

The inherent strain tensor is calibrated by an inverse problem on a test case. Typically

$$\epsilon^* = \begin{bmatrix} -0.0001 & 0 & 0 \\ 0 & -0.0001 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$



Layer by layer construction of the part ω in the build chamber D .

M. Bihr, G. Allaire, X. Betbeder-Lauque, B. Bogosel, F. Bordeu, J. Querois, *Part and supports optimization in metal powder bed additive manufacturing using simplified process simulation*, CMAME 395, 114975 (2022).

The supported structure $\Omega = \omega \cup S$ is divided into M layers, and each intermediate shape is built from the first i layers such that $\Omega_i = \Omega \cap D_i$. The model is

$$\begin{cases} -\operatorname{div}(\sigma_i) = 0 & \text{in } \Omega_i, \\ \sigma_i = A(e(u_i) + \epsilon_{\mathcal{L}_i}^*) & \text{with } \epsilon_{\mathcal{L}_i}^*(x) = \epsilon^* \chi_{\mathcal{L}_i}(x), \\ \sigma_i n = 0 & \text{on } \Gamma_{N_i}, \\ u_i = 0 & \text{on } \Gamma_D \cap \partial\Omega_i. \end{cases}$$

We consider a criterion

$$J(S) = \sum_{i=1}^M \int_{\Omega_i} j(u_i) dx \quad \text{with} \quad j(u_i) = |\max(0, u_i \cdot e_d)|^2 \chi_{\mathcal{L}_i}.$$

The optimization problem is

$$\begin{aligned} & \min_{S \subset D \setminus \omega} J(S) \\ & \text{such that} \quad |S| = |S_0|, \end{aligned}$$

Introduce an adjoint state p_i solution of

$$\begin{cases} -\operatorname{div}(Ae(p_i)) &= -j'(u_i) & \text{in } \Omega_i, \\ (Ae(p_i))n &= 0 & \text{on } \Gamma_{N_i}, \\ p_i &= 0 & \text{on } \Gamma_D. \end{cases}$$

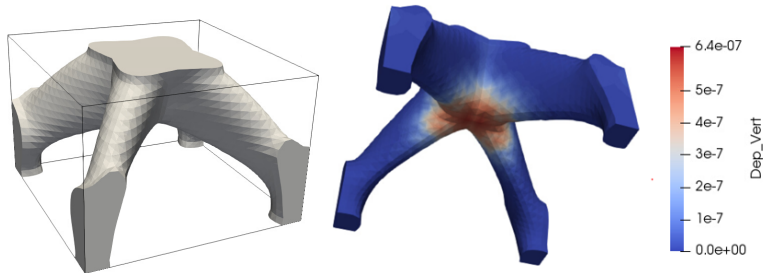
Proposition. The shape derivative in the direction of the vector field $\theta \in W^{1,\infty}(D, \mathbb{R}^d)$ is given by

$$J'(S)(\theta) = \sum_{i=1}^M \int_{\partial S \cap D_i} \theta \cdot n \left(j(u_i) + A(e(u_i) + \epsilon_{\mathcal{L}_i}^*) : e(p_i) \right) ds.$$

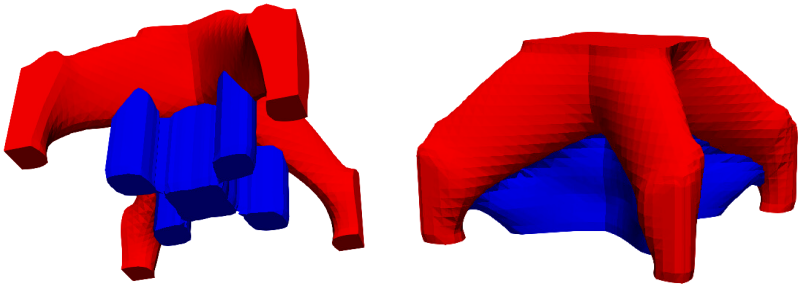
Proof. Introducing the Lagrangian

$$\mathcal{L}(\Omega, \{u_i\}, \{p_i\}) = \sum_{i=1}^M \int_{\Omega_i} j(u_i) dx + \sum_{i=1}^M \int_{\Omega_i} A(e(u_i) + \epsilon_{\mathcal{L}_i}^*) : e(p_i) dx$$

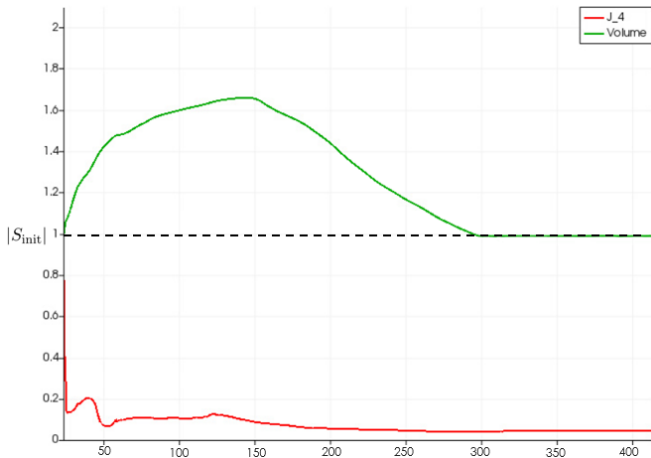
and differentiating \mathcal{L} with respect to all the variables give the desired result.



Fixed part ω to build (left) and associated vertical displacements predicted by the inherent strain model (right).



Supports S in blue: initial ones (left) and optimized ones (right)
for the fixed part ω in red.



Convergence history for the objective function $J(S)$ (red) and the volume $|S|$ (green).

Comparison of deformations for an optimized and a non-optimized structure. Calibration of the inherent strain model.

